

# ALPS Equal Sector Weight ETF

Fact Sheet | December 31, 2021

## Description

The ALPS Equal Sector Weight ETF (Ticker Symbol: EQL) is an ETF of ETFs that delivers exposure to the US Large Cap Equity market by investing **equal proportions in 11 Select Sector SPDRs** and rebalances quarterly. The eleven Sector SPDRs are shown in the Portfolio Composition chart on the lower right.

## Equal Sector Advantages

EQL delivers moderate, yet meaningful exposure to every sector of the market, providing investors with the following potential advantages:

- **Diversification**
- The opportunity to participate in a market **rally in any sector**
- **Reduction** in the negative impact of a crash in any individual sector
- **Transparent** sector allocations<sup>^</sup>

*Diversification does not eliminate the risk of experiencing investment losses.*

## Performance as of 12/31/21

	Average Annual Total Returns						
	3 Mo.	YTD	1 Yr.	3 Yr.	5 Yr.	10 Yr.	S.I. <sup>1</sup>
EQL – NAV	10.64%	29.27%	29.27%	22.29%	15.22%	14.31%	14.92%
EQL – Market Price <sup>2</sup>	10.55%	29.17%	29.17%	22.40%	15.21%	14.31%	14.93%
NYSE Select Sector Equal Weight Index <sup>3</sup>	10.69%	29.46%	29.46%	22.49%	15.40%	14.56%	15.20%
S&P 500 <sup>®</sup> Total Return Index <sup>4</sup>	11.03%	28.71%	28.71%	26.07%	18.47%	16.55%	16.61%
	Beta vs S&P 500 <sup>®5</sup>		Standard Deviation <sup>6</sup>		Correlation vs. S&P 500 <sup>®7</sup>		
ALPS Equal Sector Weight ETF	1.017		17.75		0.984		
S&P 500 <sup>®</sup> Total Return Index	1		17.34		1.000		

Beta, Standard Deviation, and Correlation Source: Bloomberg 36-monthly observations 12/31/2018 - 12/31/2021.

**Performance data quoted represents past performance. Past performance does not guarantee future results. Current performance may be higher or lower than actual data quoted. Call 1-866-675-2639 or visit [www.alpsfunds.com](http://www.alpsfunds.com) for current month end performance. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.**

<sup>^</sup> ETFs are transparent because holdings are disclosed on the website daily.

<sup>1</sup> The Fund commenced Investment Operations on July 6, 2009 with an Inception Date, the first day of trading on the Exchange, of July 7, 2009.

<sup>2</sup> Market price returns are based on the midpoint of the bid/ask spread at 4 p.m. ET and do not represent the returns an investor would receive if shares were traded at other times.

<sup>3</sup> The NYSE<sup>®</sup> Select Sector Equal Weight Index consists of a strategy that holds all active Select Sector SPDR<sup>®</sup> ETFs in an equal-weighted portfolio.

<sup>4</sup> The S&P 500<sup>®</sup> Index is the Standard & Poor's composite index of 500 stocks, a widely recognized, unmanaged index of common stock prices. An investor cannot invest directly in an index.

<sup>5</sup> Beta – statistical measure that shows a fund's volatility to a benchmark index.

<sup>6</sup> Standard deviation – annual statistical measure of the historical volatility, measure of the extent to which returns are spread around their average.

<sup>7</sup> Correlation – statistical measure of the degree to which the movement of two variables are related. Results range from -1 to +1.

## ETF Stats

Ticker:	EQL
NAV Symbol:	EQL.NV
Intraday Symbol:	EQL.IV
Listing Exchange:	NYSE Arca
CUSIP:	00162Q 205
Fund Inception:	7/6/2009
Dividends Paid:	Quarterly
Most Recent Dividend:*	\$0.5952
Management Fee:	0.37%
Acquired Fund Fees & Expenses:**	0.13%
Total Expense Ratio:	<b>0.50%</b>
Fee Waiver:	0.22%
Net Expense Ratio:***	0.28%
NAV:****	\$109.39
Shares Outstanding:	2,025,000
# of Holdings:	11

\* Dividend paid on 12/29/21

\*\* Acquired Fund Fees and Expenses are expenses incurred indirectly by the Fund through its ownership of shares in other investment companies and are not direct costs paid by Fund shareholders.

\*\*\* The Adviser has contractually agreed, through March 31, 2022, to reduce its advisory fee by 0.19%. This fee waiver may only be terminated by the Fund's Board of Trustees (and not by the Adviser) prior to such date. Further, the adviser will reimburse the Fund for any distribution fee (currently 0.03%) paid to the distributor for the Underlying Sector ETFs for so long as the Distributor acts as distributor to the Fund and the Underlying Sector ETFs.

\*\*\*\* NAV as of 12/31/21

## Portfolio Composition<sup>†</sup>



Consumer Discretionary (XLY)	9.26%
Technology (XLK)	9.16%
Real Estate (XLRE)	9.16%
Materials (XLB)	9.15%
Industrials (XLI)	9.14%
Consumer Staples (XLP)	9.07%
Utilities (XLU)	9.05%
Health Care (XLV)	9.04%
Energy (XLE)	9.03%
Communication Services (XLC)	8.99%
Financials (XLF)	8.95%

<sup>†</sup> Percentages are based off of Total Investments as of 12/31/21

### Sector Weighting Comparison as of 12/31/21

	EQL	S&P 500 <sup>®</sup>
Consumer Discretionary (XLY)	9.26%	12.54%
Technology (XLK)	9.16%	29.16%
Real Estate (XLRE)	9.16%	2.77%
Materials (XLB)	9.15%	2.56%
Industrials (XLI)	9.14%	7.78%
Consumer Staples (XLP)	9.07%	5.88%
Utilities (XLU)	9.05%	2.50%
Health Care (XLV)	9.04%	13.29%
Energy (XLE)	9.03%	2.67%
Communication Services (XLC)	8.99%	10.16%
Financials (XLF)	8.95%	10.69%

Source: Standard and Poor's. Subject to change.

### Sector Swings

	1975	1980	1985	1990	1995	2000	2005	2010	2015	2020	2021 <sup>8</sup>
Communication Services	5.70%	3.80%	1.80%	2.00%	8.50%	5.50%	2.80%	3.10%	2.40%	10.77%	10.16%
Consumer Discretionary	12.90%	7.30%	12.50%	10.10%	13.00%	10.30%	10.70%	10.60%	12.90%	12.72%	12.54%
Consumer Staples	11.20%	8.70%	12.50%	16.40%	12.80%	8.10%	9.60%	10.60%	10.10%	6.51%	5.88%
Energy	16.60%	28.20%	11.60%	13.10%	9.10%	6.60%	9.30%	12.00%	6.50%	2.28%	2.67%
Financials	0.60%	5.00%	7.00%	7.20%	13.20%	17.30%	21.30%	16.10%	16.50%	10.44%	10.69%
Health Care	6.90%	8.00%	6.90%	10.30%	10.80%	14.40%	13.30%	10.90%	15.20%	13.46%	13.29%
Industrials <sup>9</sup>	15.20%	15.00%	14.40%	11.90%	12.60%	10.60%	11.40%	10.90%	10.10%	8.40%	7.78%
Materials	13.00%	9.70%	7.10%	7.10%	6.10%	2.30%	3.00%	3.70%	2.80%	2.62%	2.56%
Real Estate	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.42%	2.77%
Technology	10.70%	8.70%	14.80%	8.80%	9.40%	21.10%	15.30%	18.80%	20.50%	27.61%	29.17%
Utilities	7.20%	5.60%	11.40%	13.10%	4.50%	3.80%	3.30%	3.30%	3.00%	2.76%	2.50%

Shaded areas represent highest weighted sector.

<sup>8</sup> As of 12/31/21

<sup>9</sup> Includes Conglomerates, Miscellaneous and Transportation Industries. Source: Standard and Poor's.

### Important Disclosures & Definitions

**An investor should consider investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus, which contains this and other information, call 1-866-675-2639 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.**

**Shares are not individually redeemable. Investors buy and sell shares on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 5,000, 25,000 or 50,000 shares.**

The Fund's investment performance, because it is a fund of funds, depends on the investment performance of the Underlying Sector ETFs in which it invests. An investment in the Fund is subject to the risks associated with the Underlying Sector ETFs that comprise the Underlying Index. The Fund will indirectly pay a proportional share of the asset-based fees of the Underlying Sector ETFs in which it invests.

The Fund is subject to the Underlying Sector ETFs Risks, making it subject to certain risks specific to each Underlying Sector ETF. The Fund is considered non-diversified which could cause greater fluctuation in share price than would occur in a diversified fund. An investment in the Fund involves risks, including loss of principal.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the ALPS Equal Sector Weight ETF.

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### An Equal Sector Strategy:

- Seeks to maintain diversification and lower volatility by providing moderate, yet meaningful exposure to every sector of the market.
- Minimizes the risk that an individual sector will dominate the performance of the portfolio.
- Automatically rebalances back to equal weights each quarter.